## EXHIBIT A (PART 4)

### COMPENSATION REPORT CHARLES DICCIANNI January-08

	13,530.80	0.00	0.00	13,530.80		13,940.99 (410.19) 0.00
PAYOUI COMPUTATION	TOTAL PRODUCTION COMPENSATION	Less: Khanuk/Gonzalez Payment	Add:	TOTAL	EARNINGS CODE/RSU BREAKDOWN:	CASH - C N B RSU - C N 5 CASH - C N A RSU - C D 5

08-13555-mg Doc 22717-4 Filed 11/29/11 Entered 11/29/11 11:27:53 Exhibit A (part 4) to Diccianni Affidavit Pg 3 of 20

Employee Name	Prod Month	Total RSU
Diccianni, Charles		
	12/1/2007	5,226.99
	1/1/2008	(410.19)
Diccianni, Charles Total		4,816.80

)8-135			Doo Ex	22 hibi	t A	'-4 (pa	rt 4)	) to	11/ Dic	cia	'11 nni	Affi	ida	vit	Pί	g 4	of 2	20		':53		xh				
8]	Total Payout			\$3,311.88	\$3,311.88		\$178.41	\$395.96	\$62.50	\$636.87		\$272.78	\$4,491.63	\$31.67	\$1,262.25	\$452.16	\$27.45	\$198.23	\$48.85	\$53.27	\$6,838.29		\$42.59	\$43.89	\$55.78	/2008
: Jan-20	PO Fee Amt			\$3,311.88	\$3,311.88		\$178.41	\$395.96	\$62.50	\$636.87		\$272.78	\$4,491.63	\$31.67	\$1,262.25	\$452.16	\$27.45	\$198.23	\$48.85	\$53.27	\$6,838.29		\$42.59	\$43.89	\$55.78	te: 02/19/2008
Month End : Jan-2008	Monthly Fee			\$11,039.60	\$11,039.60		\$1,784.07	\$3,959.64	\$625.00	\$6,368.71		\$2,727.76	\$14,972.10	\$316.65	\$12,622.47	\$4,521.60	\$274.51	\$1,982.34	\$488.52	\$532.65	\$38,438.60		\$425.94	\$438.88	\$557.78	Run Date:
M	Quarterly Fee			\$11,039.60	\$11,039.60		\$1,784.07	\$3,959.64	\$625.00	\$6,368.71		\$2,727.76	\$14,972.10	\$316.65	\$12,622.47	\$4,521.60	\$274.51	\$1,982.34	\$488.52	\$532.65	\$38,438.60		\$425.94	\$438.88	\$557.78	
	PO Comm Amt			\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	
	Total I Comm			\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	
	Pct Payout			30.00%	p Total:		10.00%	10.00%	10.00%	Total:		10.00%	30.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	Total:		10.00%	10.00%	10.00%	1 of 3
	Tier			5	Reg Rep T		66	66	66	Reg Rep T		66	2	66	66	66	66	66	66	6	Reg Rep To		66	66	66	Page 1
	Start Date			12/11/2007	Re		09/30/2001	01/25/2006	08/01/2006	Re		01/01/2006	12/11/2007	06/23/2006	01/31/2006	02/03/2006	08/01/2006	08/01/2006	02/06/2004	10/03/2003	Rec		01/20/2005	01/19/2005	02/28/2005	
	Account Name		SCHWARTZ NEW YORK	CITY OF NEW HAVEN EMPLOYEE		FIXED INCOME/NY	SAMUEL S BERGAMI JR &	MILFORD HOSPITAL PENSION PLAN 01/25/2006	MILFORD BANK PENSION PLAN		APPEL NEW YORK	MILFORD HOSPITAL MID CAP	CITY OF NEW HAVEN EMPLOYEE	EDWARD SAAD AND	MILFORD HOSPITAL PENSION PLAN 01/31/2006	MILFORD HOSPITAL PENSION PLAN 02/03/2006	MILFORD BANK PENSION PLAN	MILFORD BANK PENSION PLAN	F/B/O STEPHEN P CHERNOCK JR	FRANK R MATTHEWS		UP/NY	JBC ASSOCIATES LIMITED	CSC ASSOCIATES LIMITED	CATHRYN M HOLINGER	
	Marketer Reg Customer Name Rep Account	DICCIANNI, CHARLES	223 SCHWAR	551 01337		251 FIXED IN	551 13224	777 00685	777 00924		288 APPEL NI	550 01688	551 01338	555 10968	777 00680	777 00682	777 00925	777 00926	777 20014	777 53485		390 KSE GROUP/NY	777 00362	777 00367	777 00414 (	
	Marketer Name	DICCIAN																			9	.,				

Compensation Report

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						W	onth End	Month End : Jan-2008	ωl	08-135
Marketer Reg Customer Account Name Rep Account Name	Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly Fee	Monthly	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES										) [
W36 APPEL/DICCIANNI										Doc Ext
555 02151 MILFORD HOSPITAL INC	09/07/2001	66	10.00%	\$0.00	\$0.00	\$4,585.80	\$4,585.80	\$458.58	\$458.58	22 nibit
555 02404 BABY TOGS INC DEFERRED		×		\$0.00	\$0.00	\$0.00	\$0.00	\$32.34	\$32.34	717 t A
555 02406 BABY TOGS SPECIAL DEFINED		×		\$0.00	\$0.00	\$0.00	\$0.00	\$17.45	\$17.45	'-4 (pai
	Reg	Reg Rep To	Total:	\$0.00	\$0.00	\$4,585.80	\$4,585.80	\$508.37	\$508.37	Fi rt 4)
W38 APPEL #2/DICCIANNI										led ) to
555 02148 MILFORD HOSPITAL INC	09/07/2001	66	5.00%	\$0.00	\$0.00	\$7,798.15	\$7,798.15	\$389.91	\$389.91	11/ Dic
777 02020 MILFORD HOSPITAL FIXED	09/07/2001	66	2.00%	\$0.00	\$0.00	\$3,100.99	\$3,100.99	\$155.05	\$155.05	/29/: ciar
	Reg	Reg Rep To	Total:	\$0.00	\$0.00	\$10,899.14	\$10,899.14	\$544.96	\$544.96	11 nni <i>i</i>
W39 KAMINSKY/DICCIANNI										Er Affic
555 02405 BABY TOGG INC DEFERRED		×		\$0.00	\$0.00	\$0.00	\$0.00	\$26.90	\$26.90	nter dav
555 30007 BABY TOGS SPECIAL DEFINED		×		\$0.00	\$0.00	\$0.00	\$0.00	\$16.70	\$16.70	ed it
	Reg	Reg Rep To	Total:	\$0.00	\$0.00	\$0.00	\$0.00	\$43.60	\$43.60	11/2 Pg !
	Marketer Total:	<u>::</u>		\$0.00	\$0.00	\$87,242.71	\$87,242.71	\$13,530.80	\$13,530.80	9/11 1 5 of 20
										1:27
										:53

**Compensation Report** 

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Exhibit

Run Date: 02/19/2008

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### **COMPENSATION REPORT** CHARLES DICCIANNI February-08

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TOTAL PRODUCTION COMPENSATION	77,693.49
Less: Khanuk/Gonzalez Payment	0.00
Add:	0.00
FOTAL	77,693.49
EARNINGS CODE/RSU BREAKDOWN:	
CASH - C N B RSU - C N 5 CASH - C N A RSU - C D 5	66,268.38 11,425.11 0.00 0.00

08-13555-mg Doc 22717-4 File to 11/29/11 11:27:53 Exhibit A (part 4) to Diccianni Affidavit Pg 7 of 20

Employee Name	Prod Month	Total RSU
Diccianni, Charles		and the second s
	12/1/2007	5,226.99
	1/1/2008	(410.19)
	2/1/2008	11,425.11
Diccianni, Charles To	otal	16,241.91

**Compensation Report** 

## Month End: Feb-2008

narketer Reg Customer Name Rep Account	Reg Custome Rep Account	er Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
JICCIANNI, CHARLES	CHARLES										
223		SCHWARTZ NEW YORK									
	551 01337	CITY OF NEW HAVEN EMPLOYEE	12/11/2007	2	30.00%	\$0.00	\$0.00	\$11,039.60	\$11,039.60	\$3,311.88	\$3.311.88
	777 00874	CITY OF STAMFORD FIREMEN	05/01/2003	66	10.00%	\$0.00	\$0.00	\$29,855.90		\$2,985.59	\$2.985.59
	777 00898	CITY OF BRISTOL EMPLOYEE	07/01/2006	66	10.00%	\$0.00	\$0.00	\$32,524.79		\$3,252.48	\$3,252.48
	777 02056	CITY OF MILFORD EMPLOYEES	05/07/2007	2	30.00%	\$0.00	\$0.00	\$43,737.25		\$13,121.17	\$13,121.17
			Reg	Reg Rep Total:	otal:	\$0.00	\$0.00	\$117,157.54	\$117,157.54 \$117,157.54	\$22.671.12	\$22.671.12
251		FIXED INCOME/NY									
	551 13224	SAMUEL S BERGAMI JR &	09/30/2001	8	10.00%	\$0.00	\$0.00	\$1,784.07	\$1,784.07	\$178.41	\$178.41
	777 00685	MILFORD HOSPITAL PENSION PLAN 01/25/2006	01/25/2006	66	10.00%	\$0.00	\$0.00	\$3,959.64	\$3,959.64	\$395.96	\$395.96
	777 00924	MILFORD BANK PENSION PLAN	08/01/2006	66	10.00%	\$0.00	\$0.00	\$625.00	\$625.00	\$62.50	\$62.50
	777 11009	STAMFORD FIREFIGHTERS PENSIO 05/01/2003	05/01/2003	66	7.50%	\$0.00	\$0.00	\$14,306.65	\$17	\$1,073.00	\$1,073.00
			Reg l	Reg Rep Total:	otal:	\$0.00	\$0.00	\$20,675.36	\$20,675.36	\$1.709.87	\$1.709.87
288		APPEL NEW YORK									
	550 01688	MILFORD HOSPITAL MID CAP	01/01/2006	66	10.00%	\$0.00	\$0.00	\$2,727.76	\$2,727.76	\$272.78	\$272.78
	550 02759	ARCHDIOCESAN PENSION PLAN	01/31/2007	66	2.00%	\$0.00	\$0.00	\$29,630.27	\$29,630.27	\$1,481.51	\$1.481.51
	550 02760	THE TRUSTEES OF ST PATRICKS	01/31/2007	66	5.00%	\$0.00	\$0.00	\$13,532.86	\$13,532.86	\$676.64	\$676.64
	550 02869	ш	04/24/2007	2	10.00%	\$0.00	\$0.00	\$2,097.57	\$2,097.57	\$209.76	\$209.76
	551 01338	CITY OF NEW HAVEN EMPLOYEE	12/11/2007	5	30.00%	\$0.00	\$0.00	\$14,972.10	\$14,972.10	\$4,491.63	\$4.491.63
	555 10968	EDWARD SAAD AND	06/23/2006	66	10.00%	\$0.00	\$0.00	\$316.65	\$316.65	\$31.67	\$31.67
	777 00008	CITY OF MILFORD PENSION FUND	07/01/2004	66	10.00%	\$0.00	\$0.00	\$9,380.54	\$9,380.54	\$938.05	\$938.05
	777 00224	CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004	07/27/2004	6	10.00%	\$0.00	\$0.00	\$45,670.41	\$45,670.41	\$4,567.04	\$4,567.04
	777 00365	JBC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$871.16	\$871.16	\$87.12	\$87.12
	777 00366	CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$870.21	\$870.21	\$87.02	\$87.02
	777 00680	MILFORD HOSPITAL PENSION PLAN 01/31/2006	01/31/2006	66	10.00%	\$0.00	\$0.00	\$12,622.47	\$12,622.47	\$1,262.25	\$1,262.25
			ď	Page 1 of 5	of 5				Run Date:	te: 03/12/2008	8008

Compensation Report

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Month End: Feb-2008

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larketer Reg Customer Name Rep Account	ner Account nt Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
ICCIANNI, CHARLES	S:									
288 APPE	APPEL NEW YORK									
777 00682	MILFORD HOSPITAL PENSION PLAN 02/03/2006	N 02/03/2006	8	10.00%	\$0.00	\$0.00	\$4,521.60	\$4,521.60	\$452.16	\$452.16
777 00925	25 MILFORD BANK PENSION PLAN	08/01/2006	66	10.00%	\$0.00	\$0.00	\$274.51	\$274.51	\$27.45	\$27.45
777 00926	86 MILFORD BANK PENSION PLAN	08/01/2006	66	10.00%	\$0.00	\$0.00	\$1,982.34	\$1,982.34	\$198.23	\$198.23
777 20014	4 F/B/O STEPHEN P CHERNOCK JR	02/06/2004	66	10.00%	\$0.00	\$0.00	\$488.52	\$488.52	\$48.85	\$48.85
777 20017	7 PAUL M GAGLIARDI REVOC TRUST	02/12/2004	66	10.00%	\$0.00	\$0.00	\$1,020.01	\$1,020.01	\$102.00	\$102.00
777 53485	5 FRANK R MATTHEWS	10/03/2003	66	10.00%	\$0.00	\$0.00	\$532.65	\$532.65	\$53.27	\$53.27
		Reg I	Reg Rep Total:	tal:	\$0.00	\$0.00	\$141,511.63 \$141,511.63	141,511.63	\$14,987.43	\$14,987.43
390 KSE G	KSE GROUP/NY									
777 00362	2 JBC ASSOCIATES LIMITED	01/20/2005	66	10.00%	\$0.00	\$0.00	\$425.94	\$425.94	\$42.59	\$42.59
777 00367	7 CSC ASSOCIATES LIMITED	01/19/2005	66	10.00%	\$0.00	\$0.00	\$438.88	\$438.88	\$43.89	\$43.89
777 00414	4 CATHRYN M HOLINGER	02/28/2005	66	10.00%	\$0.00	\$0.00	\$557.78	\$557.78	\$55.78	\$55.78
777 00470	0 AWA FUND	04/19/2005	66	10.00%	\$0.00	\$0.00	\$1,003.25	\$1,003.25	\$100.33	\$100.33
777 00563	3 JOHN E CONELIAS	08/11/2005	66	10.00%	\$0.00	\$0.00	\$1,716.68	\$1,716.68	\$171.67	\$171.67
777 00580	0 JOHN BLATZ	09/07/2005	8	10.00%	\$0.00	\$0.00	\$822.58	\$822.58	\$82.26	\$82.26
777 00645	5 MASTER PEN PLAN FOR HOURLY	11/22/2005	66	10.00%	\$0.00	\$0.00	\$627.12	\$627.12	\$62.71	\$62.71
777 00646	6 MASTER PEN PLAN FOR SALARIED 11/22/2005	11/22/2005	66	10.00%	\$0.00	\$0.00	\$1,300.90	\$1,300.90	\$130.09	\$130.09
777 01184	4 JEFFREY T CAMMANS	10/10/2006	66	10.00%	\$0.00	\$0.00	\$910.84	\$910.84	\$91.08	\$91.08
777 20015	5 F/B/O STEPHEN P CHERNOCK JR	02/05/2004	66	10.00%	\$0.00	\$0.00	\$525.94	\$525.94	\$52.59	\$52.59
777 20016	S PAUL M GAGLIARDI	02/10/2004	66	10.00%	\$0.00	\$0.00	\$804.59	\$804.59	\$80.46	\$80.46
777 53486	S FRANK R MATTHEWS	10/02/2003	66	10.00%	\$0.00	\$0.00	\$558.52	\$558.52	\$55.85	\$55.85
		Reg R	Reg Rep Total:	tal:	. \$0.00	\$0.00	\$9,693.02	\$9,693.02	\$969.30	\$969.30

08-135	55-mç	9		c 22 hibit					11/ Dicc						11/: Pg	29/1 10 c	L1 1 of 20	.1:2 0	7:5	3	Ex	hib	it			
ωl	Total			\$64.67	\$64.67		\$80.65	\$47.79	\$15.40	\$143.84		\$3,394.68	\$529.24	\$3,923.92		\$93.56	\$505.84	\$599.40		\$34.85	\$8.67	\$144.54	\$26.14	\$1,485.93	\$52.21	800
Month End : Feb-2008	PO Fee Amt			\$64.67	\$64.67		\$80.65	\$47.79	\$15.40	\$143.84		\$3,394.68	\$529.24	\$3,923.92		\$93.56	\$505.84	\$599.40		\$34.85	\$8.67	\$144.54	\$26.14	\$1,485.93	\$52.21	e: 03/12/2008
onth End	Monthly Fee			\$646.71	\$646.71		\$806.54	\$477.92	\$153.99	\$1,438.45		\$33,946.81	\$5,292.39	\$39,239.20		\$935.62	\$5,058.41	\$5,994.03		\$697.04	\$173.37	\$2,890.78	\$522.75	\$29,718.69	\$1,044.23	Run Date:
M	Quarterly Fee			\$646.71	\$646.71		\$806.54	\$477.92	\$153.99	\$1,438.45		\$33,946.81	\$5,292.39	\$39,239.20		\$935.62	\$5,058.41	\$5,994.03		\$697.04	\$173.37	\$2,890.78	\$522.75	\$29,718.69	\$1,044.23	
	PO Comm Amt			\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Total Comm			\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Tier Pct Payout			99 10.00%	p Total:		9 10.00%	9 10.00%	10.00%	o Total:		10.00%	10.00%	Total:		10.00%	10.00%	Total:		5.00%	2.00%	2.00%	2.00%	5.00%	2.00%	3 of 5
	Start Date T			08/29/2005 9	Reg Rep		06/23/2006 99	06/23/2006 99	06/23/2006 99	Reg Rep		01/19/2005 99	99 11/25/2006	Reg Rep		07/01/2004 99	07/01/2004 99	Reg Rep		6/08/1932 99	0/01/1996 99	07/01/1999 99	07/01/1999 99	06/08/1932 99	06/08/1932 99	Page
	Account Name		SKY /NY	F/B/O EDDIE SITT		BOLTON	WMBRH-KENNEDY DUNCAN FUND (	WMBRH-STURGIS FUND	WMBRH-HOME HEALTH EDUCATIO! 06/23/2006		INTERNATIONAL EQUITY / NEW YOR	CITY OF BRISTOL GENERAL	MILFORD HOSPITAL PENSION PLAN 01/25/2006		PAMAS MUTUAL FUNDS / NEW YOR!		WHITNEY CENTER INVESTMENT 0		W30 FIXED INCOME/DICCIANNI	PARISH CEMETERY FIELD EMPLOY 06/08/1932	AMERICAN COLLEGE OF PHYSICIAI 10/01/1996	Œ			CITY OF BRISTOL - HIGH YIELD 00	
	farketer Reg Customer Name Rep Account	JICCIANNI, CHARLES	881 M KAMINSKY /NY	521 01163		A12 JEFFREY BOLTON	550 02168		550 02176		B73 INTERNAT	777 00378	777 00683 A		193 PAMAS M		777 00212 V		W30 FIXED INC	777 00673 P					777 01969 C	

Compensation Report

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Compensation Report

# Month End: Feb-2008

flarketer Reg Customer Name Rep Account	t Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly	PO Fee Amt	Total
ICCIANNI, CHARLES										
W30 FIXED	W30 FIXED INCOME/DICCIANNI									
777 01970	777 01970 CITY OF BRISTOL-INTERNATIONAL 06/08/1932	06/08/1932	66	5.00%	\$0.00	\$0.00	\$1,653.82	\$1,653.82	\$82.69	\$82.69
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$36,700.68	\$36,700.68	\$1.835.03	\$1.835.03
W36 APPEL/DICCIANNI	DICCIANNI									
555 02151	MILFORD HOSPITAL INC	09/07/2001	66	10.00%	\$0.00	\$0.00	\$4,585.80	\$4.585.80	\$458.58	6458 58
555 02392	AMERICAN COLLEGE OF PHYSICIAI 01/20/1995	01/20/1995	8	5.00%	\$0.00	\$0.00	\$18,806.88	\$18.806.88	\$940.34	\$940.34
555 02404	BABY TOGS INC DEFERRED	06/08/1932	8	2.00%	\$0.00	\$0.00	\$5,079.20	\$5.079.20	\$253.96	\$253.96
555 02406	BABY TOGS SPECIAL DEFINED	06/08/1932	66	2.00%	\$0.00	\$0.00	\$2,540.08	\$2.540.08	\$127.00	\$127.00
555 32655	AMERICAN COLLEGE OF PHYSICIAI 09/01/1996	09/01/1996	6	2.00%	\$0.00	\$0.00	\$30,684.12	\$30.684.12	\$1.534.21	\$1 534 21
555 32657	AMERICAN COLLEGE OF PHYSICIAI 10/01/1996	10/01/1996	66	2.00%	\$0.00	\$0.00	\$913.82	\$913.82	\$45.69	\$45.69
777 00012	MILFORD PENSION TRUST	06/08/1932	66	2.00%	\$0.00	\$0.00	\$69,063.94	\$69,063.94	\$3.453.20	\$3.453.20
777 00062	CITY OF BRISTOL POLICE & FIRE	06/08/1932	66	2.00%	\$0.00	\$0.00	\$102,080.49 \$102,080.49	102,080.49	\$5.104.02	\$5 104 02
777 00082	CHESHIRE RET EQUITY	06/08/1932	66	2.00%	\$0.00	\$0.00	\$12,861.20	\$12.861.20	\$643.06	\$643.06
777 01223	STAMFORD FIREMEN'S MID CAP	03/01/1996	6	2.00%	\$0.00	\$0.00		\$9,147.59	\$457.38	\$457.38
777 01224	STAMFORD FIREMEN'S LARGE CAP 03/01/1996	03/01/1996	66	2.00%	\$0.00	\$0.00	\$18,272.39	\$18,272.39	\$913.62	\$913.62
777 01348	F/B/O ANN M MOSS	03/22/2007	2	30.00%	\$0.00	\$0.00	\$68.07	\$68.07	\$20.42	\$20.42
777 01349	F/B/O PAUL MOSS	03/22/2007	2	30.00%	\$0.00	\$0.00	\$103.83	\$103.83	\$31.15	\$31.15
777 01353	PAUL MOSS AND	05/14/2007	2	30.00%	\$0.00	\$0.00	\$106.84	\$106.84	\$32.05	\$32.05
777 01662	WHITNEY GENL RES LARGE CAP	07/01/1999	66	2.00%	\$0.00	\$0.00	\$8,107.20	\$8,107.20	\$405.36	\$405.36
777 01664		07/01/1999	66	2.00%	\$0.00	\$0.00	\$2,863.01	\$2,863.01	\$143.15	\$143.15
777 01668	Δ,	07/01/1999	66	2.00%	\$0.00	\$0.00	\$1,531.29	\$1,531.29	\$76.56	\$76.56
777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	66	2.00%	\$0.00	\$0.00	\$506.97	\$506.97	\$25.35	\$25.35
		Reg Rep Total:	ep Tot	<del>=</del>	\$0.00	\$0.00	\$287,322.72 \$287,322.72		\$14,665.10	\$14,665.10

Run Date: 03/12/2008

**Compensation Report** 

# Month End: Feb-2008

Marketer Reg Customer Name Rep Account	r Account Name	Start Date	Tier	Pct Payout	Total Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout
ICCIANNI, CHARLES										
W38 APPEL #2/DICCIANNI	#2/DICCIANNI									
555 02148	MILFORD HOSPITAL INC	09/07/2001	66	5.00%	\$0.00	\$0.00	\$7,798.15	\$7,798.15	\$389.91	\$389.91
777 02020	MILFORD HOSPITAL FIXED	09/07/2001	66	5.00%	\$0.00	\$0.00	\$3,100.99	\$3,100.99	\$155.05	\$155.05
		Reg	Reg Rep Total:	otal:	\$0.00	\$0.00	\$10,899.14	\$10,899.14	\$544.96	\$544.96
W39 KAMINS	W39 KAMINSKY/DICCIANNI									
555 02387	MUELLER BRASS CO RETIREMENT	r 06/08/1932	8	5.00%	\$0.00	\$0.00	\$81,196.47	\$81,196.47	\$4.059.82	\$4.059.82
555 02405	BABY TOGG INC DEFERRED	06/08/1932	66	2.00%	\$0.00	\$0.00	\$5,083.07	\$5,083.07	\$254.15	\$254.15
555 30007	BABY TOGS SPECIAL DEFINED	06/08/1932	66	2.00%	\$0.00	\$0.00	\$2,938.00	\$2,938.00	\$146.90	\$146.90
		Reg	Reg Rep Total:	tal:	\$0.00	\$0.00	\$89,217.54	\$89,217.54	\$4.460.87	\$4.460.87
W40 BOLTON/DICCIANNI	I/DICCIANNI									
550 02168	WMBRH-KENNEDY DUNCAN FUND	06/23/2006	66	10.00%	\$0.00	\$0.00	\$985.77	\$985.77	\$98.58	808.58
550 02175	WMBRH-STURGIS FUND	06/23/2006	66	10.00%	\$0.00	\$0.00	\$584.13	\$584.13	\$58.41	\$58.41
550 02176	WMBRH-HOME HEALTH EDUCATION 06/23/2006	1 06/23/2006	66	10.00%	\$0.00	\$0.00	\$188.21	\$188.21	\$18.82	\$18.82
555 26700	BURKE REHABILITATION HOSPITAL 06/08/1932	- 06/08/1932	66	2.00%	\$0.00	\$0.00	\$9,323.45	\$9,323.45	\$466.17	\$466.17
555 26701	BURKE REHABILITATION CENTER	06/08/1932	66	2.00%	\$0.00	\$0.00	\$15,755.05	\$15,755.05	\$787.75	\$787.75
555 26703	WINIFRED MASTERSON BURKE FDI 06/08/1932	1 06/08/1932	66	2.00%	\$0.00	\$0.00	\$15,022.31	\$15,022.31	\$751.12	\$751.12
555 26705	PARISH CEMETERY FIELD EMPLOY 06/08/1932	06/08/1932	66	2.00%	\$0.00	\$0.00	\$3,972.67	\$3,972.67	\$198.63	\$198.63
		Reg R	Reg Rep Total:	tal:	\$0.00	\$0.00	\$45,831.59	\$45,831.59	\$2,379.48	\$2,379.48
W45 SCHWARTZ/DICCIANNI	RTZ/DICCIANNI									
555 02382	WHX PENSION PLAN (MCS)	04/01/1999	66	5.00%	\$0.00	\$0.00	\$174,769.39 \$174,769.39	174,769.39	\$8,738.47	\$8,738.47
		Reg R	Reg Rep Total:	al:	\$0.00	\$0.00	\$174,769.39 \$174,769.39	174,769.39	\$8,738.47	\$8,738.47
		Marketer Total:			\$0.00	\$0.00	\$981,097.00 \$981,097.00		\$77,693.46	\$77,693.46

Run Date: 03/12/2008

45,203.33 7,149.93 2,289.58 325.42

CASH - C N A RSU - C D 5

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### COMPENSATION REPORT **CHARLES DICCIANNI** March-08

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52,353.26	00.0	2,615.00	54,968.26
TOTAL PRODUCTION COMPENSATION	Less: Khanuk/Gonzalez Payment	Add: Q1 and Q2 2007	TOTAL

EARNINGS CODE/RSU BREAKDOWN:

K:\FIN\RRF-Commission\M Musico\EXCEL FILES\INDIVIDUAL ASSET MGMT\2004 IAM\03-2004 IAM\03-2004 IAM GOAL.xls

08-13555-mg Doc 22717-4 File 103 1/29 11 11:27:53 Exhibit Exhibit A (part 4) to Diccianni Affidavit Pg 14 of 20

Employee Name	Prod Month	Total RSU
Diccianni, Charles		
	12/1/2007	5,226.99
	1/1/2008	(410.19)
	2/1/2008	11,425.11
	3/1/2008	7,475.35
Diccianni, Charles To	otal	23,717.26

		1		XIII						)ICC						Py												
(AN	<b>©</b>	Total Payout			\$3,311.88	\$1,492.80	(\$3,252.48)	\$4,878.72	\$6,560.59	\$12,991.51		\$178.41	\$372.24	\$403.49	\$395.96	(\$125.00)	\$187.50	\$536.50	\$1,949.10		\$272.78	\$740.76	\$338.32	\$104.88	\$4,491.63	\$31.67	\$469.03	2008
ER BERMAN	: Mar-200	PO Fee Amt			\$3,311.88	\$1,492.80	(\$3,252.48)	\$4,878.72	\$6,560.59	\$12,991.51		\$178.41	\$372.24	\$403.49	\$395.96	(\$125.00)	\$187.50	\$536.50	\$1,949.10		\$272.78	\$740.76	\$338.32	\$104.88	\$4,491.63	\$31.67	\$469.03	e: 04/14/2008
NEUBERGER	Month End : Mar-2008	Monthly Fee			\$11,039.60	\$14,927.95	\$32,524.79)	\$48,787.18	\$21,868.63	\$64,098.57		\$1,784.07	\$3,722.35	\$4,034.89	\$3,959.64	(\$1,250.00)	\$1,875.00	\$7,153.33	\$21,279.28		\$2,727.76	\$14,815.13	\$6,766.43	\$1,048.78	\$14,972.10	\$316.65	\$4,690.27	Run Date:
Z	W	Quarterly Fee			\$11,039.60	\$14,927.95	(\$32,524.79) (\$32,524.79)	\$48,787.18	\$21,868.63	\$64,098.57		\$1,784.07	\$3,722.35	\$4,034.89	\$3,959.64	(\$1,250.00)	\$1,875.00	\$7,153.33	\$21,279.28		\$2,727.76	\$14,815.13	\$6,766.43	\$1,048.78	\$14,972.10	\$316.65	\$4,690.27	
		PO Comm Amt			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
티		Total			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
pensation Report		Pct Payout			30.00%	10.00%	10.00%	10.00%	30.00%	otal:		10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	7.50%	otal:		10.00%	2.00%	2.00%	10.00%	30.00%	10.00%	10.00%	of 6
nsati		Tier			2	66	66	66	2	Reg Rep Total:		66	66	66	66	66	66	66	Reg Rep Total:		66	66	66	5	5	66	66	Page 1 of 6
Compe		Start Date			12/11/2007	05/01/2003	07/01/2006	07/01/2006	05/07/2007	Reg		09/30/2001	02/28/2005	02/28/2005	01/25/2006	08/01/2006	08/01/2006	05/01/2003	Reg		01/01/2006	01/31/2007	01/31/2007	04/24/2007	12/11/2007	06/23/2006	07/01/2004	
		Account Name		SCHWARTZ NEW YORK	CITY OF NEW HAVEN EMPLOYEE	CITY OF STAMFORD FIREMEN	CITY OF BRISTOL EMPLOYEE	CITY OF BRISTOL EMPLOYEE	CITY OF MILFORD EMPLOYEES		OME/NY	SAMUEL S BERGAMI JR &	MERIDEN CITY EMPLOYEES	MERIDEN POLICE & FIRE	MILFORD HOSPITAL PENSION PLAN 01/25/2006	MILFORD BANK PENSION PLAN	MILFORD BANK PENSION PLAN	STAMFORD FIREFIGHTERS PENSIO 05/01/2003		W YORK	MILFORD HOSPITAL MID CAP	ARCHDIOCESAN PENSION PLAN	THE TRUSTEES OF ST PATRICKS	CATHOLIC INDEMNITY INSURANCE	CITY OF NEW HAVEN EMPLOYEE	EDWARD SAAD AND	CITY OF MILFORD PENSION FUND	
		Reg Customer Rep Account	CHARLES		551 01337 (	777 00874 (	0 86800 777	777 00898	777 02056 C		FIXED INCOME/NY	551 13224 S	777 00417 N	777 00418 N	777 00685 N	777 00924 N	777 00924 N	777 11009 S		APPEL NEW YORK	550 01688 N	550 02759 A	550 02760 T	550 02869 C	551 01338 C	555 10968 E	777 00008 C	
PA12		Marketer Reg Customer Name Rep Account	SICCIANNI, CHARLES	223							251									288								

Doc 22717-4 Filed 11/29/11 Entered 11/29/11 11:27:53 08-13555-mg **Exhibit** Exhibit A (part 4) to Diccianni Affidavit Pg 16 of 20 Total \$6,850.56 (\$87.12)\$452.16 (\$4,567.04)\$130.67 \$43.51 (\$54.90)\$82.35 \$594.70 \$48.85 \$153.00 Payout \$127.78 \$1,262.25 (\$396.47) \$43.89 \$55.78 (\$102.00) \$53.27 \$10,912.86 (\$85.19) \$100.33 \$171.67 Run Date: 04/14/2008 Month End: Mar-2008 PO Fee Amt \$6,850.56 (\$4,567.04)\$130.67 \$82.35 (\$87.12) \$43.51 \$1,262.25 \$452.16 (\$54.90)\$594.70 \$48.85 \$127.78 \$43.89 (\$396.47)\$153.00 \$53.27 \$10,912.86 (\$85.19) \$55.78 \$102.00) \$100.33 \$171.67 (\$45,670.41) (\$45,670.41) Quarterly Monthly Fee Fee \$68,505.61 \$68,505.61 (\$871.16) \$89,975.11 \$1,306.74 \$435.11 \$12,622.47 \$4,521.60 (\$549.03)\$5,947.02 \$438.88 \$557.78 \$823.54 (\$3,964.68)\$488.52 (\$1,020.01) \$1,530.02 \$532.65 (\$851.88)\$1,277.82 \$1,003.25 \$1,716.68 \$12,622.47 (\$871.16) \$1,306.74 \$435.11 \$4,521.60 (\$3,964.68) (\$1,020.01) \$89,975.11 \$5,947.02 (\$549.03)\$823.54 \$488.52 \$1,530.02 \$557.78 \$532.65 \$851.88) 11,003.25 \$1,277.82 \$438.88 \$1,716.68 Amt \$0.00 \$0.00 Total PO Comm \$0.00 Comm \$0.00 Payout 10.00% 0.00% 10.00% 10.00% Pc 10.00% 10.00% 10.00% 0.00% 0.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% 10.00% Reg Rep Total: Page 2 of 6 Tier 8 66 66 8 8 8 66 8 8 8 8 6 8 66 66 66 8 8 Start Date CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004 01/19/2005 01/19/2005 01/19/2005 MILFORD HOSPITAL PENSION PLAN 02/03/2006 CITY OF BRISTOL MUNICIPAL EMPL 07/27/2004 MILFORD HOSPITAL PENSION PLAN 01/31/2006 08/01/2006 08/01/2006 08/01/2006 08/01/2006 02/12/2004 02/12/2004 02/06/2004 01/20/2005 01/20/2005 10/03/2003 01/19/2005 32/28/2005 04/19/2005 38/11/2005 PAUL M GAGLIARDI REVOC TRUST PAUL M GAGLIARDI REVOC TRUST F/B/O STEPHEN P CHERNOCK JR MILFORD BANK PENSION PLAN MILFORD BANK PENSION PLAN MILFORD BANK PENSION PLAN MILFORD BANK PENSION PLAN **CSC ASSOCIATES LIMITED** JBC ASSOCIATES LIMITED JBC ASSOCIATES LIMITED **CSC ASSOCIATES LIMITED** JBC ASSOCIATES LIMITED JBC ASSOCIATES LIMITED CATHRYN M HOLINGER FRANK R MATTHEWS JOHN E CONELIAS Account Name APPEL NEW YORK **AWA FUND** KSE GROUP/NY larketer Reg Customer Name Rep Account **JICCIANNI, CHARLES** 777 00224 777 00365 777 00224 777 00365 777 00366 777 00925 777 00925 777 00926 777 00926 777 53485 777 00680 777 00682 777 20014 777 00362 777 20017 777 20017 777 00362 777 00470 777 00563 777 00367 777 00414

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NEUBERGER BERMAN

Compensation Report

1355! <b>8</b>	Total Bayout		oc Exhi		A (I	oart	(4)	to l	11/ Dicc 65:258	/29/ciar (26.091 <b>\$</b> )	/11 38 \$241.38	\$55.85 Affi	ente dav	erec	Pg 49.79\$	29/ 17 29 <b>*9\$</b>	11 of 2	11:3 20 (\$3,394.68)	\$5,092.02		\$2,226.58	khit	\$46.78	\$252.92	\$299.70	טחמי
- Mai - 20	PO Fee Amt			\$82.26	(\$125.42)	\$188.14	\$130.09	\$91.08	\$52.59	(\$160.92)	\$241.38	\$55.85	\$969.31		\$64.67	\$64.67		(\$3,394.68)	\$5,092.02	\$529.24	\$2,226.58		\$46.78	\$252.92	\$299.70	te: 04/14/200R
Month End : Mar-2008	Monthly Fee			\$822.58	(\$1,254.24)	\$1,881.36	\$1,300.90	\$910.84	\$525.94	(\$1,609.17)	\$2,413.76	\$558.52	\$9,693.02		\$646.71	\$646.71		(\$33,946.81)	\$50,920.22	\$5,292.39	\$22,265.80		\$467.81	\$2,529.20	\$2,997.01	Run Date:
≥	Quarterly Fee			\$822.58	(\$1,254.24)	\$1,881.36	\$1,300.90	\$910.84	\$525.94	(\$1,609.17)	\$2,413.76	\$558.52	\$9,693.02		\$646.71	\$646.71		(\$33,946.81) (\$33,946.81)	\$50,920.22	\$5,292.39	\$22,265.80		\$467.81	\$2,529.20	\$2,997.01	
	PO Comm Amt			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	
	Total			\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	
	Pct Payout			10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	Total:		10.00%	Total:		10.00%	10.00%	10.00%	Total:		10.00%	10.00%	Total:	3 of 6
	Tier			66	66	66	66	66	66	66	66	66	Reg Rep T		66	Reg Rep T		66	66	66	Reg Rep T		66	66	Reg Rep T	Page 3
	Start Date			09/07/2005	11/22/2005	11/22/2005	11/22/2005	10/10/2006	02/05/2004	02/10/2004	02/10/2004	10/02/2003	Reg		08/29/2005	Reç		01/19/2005	01/19/2005	01/25/2006	Reg		07/01/2004	07/01/2004	Reg	
	Account Name		JP/NY	JOHN BLATZ	MASTER PEN PLAN FOR HOURLY	MASTER PEN PLAN FOR HOURLY	MASTER PEN PLAN FOR SALARIED	JEFFREY T CAMMANS	F/B/O STEPHEN P CHERNOCK JR	PAUL M GAGLIARDI	PAUL M GAGLIARDI	FRANK R MATTHEWS		KY /NY	F/B/O EDDIE SITT		INTERNATIONAL EQUITY / NEW YOR	CITY OF BRISTOL GENERAL	CITY OF BRISTOL GENERAL	MILFORD HOSPITAL PENSION PLAN 01/25/2006		MUTUAL FUNDS / NEW YOR!	WHITNEY CENTER INC ESCROW	WHITNEY CENTER INVESTMENT		
	Varketer Reg Customer Name Rep Account	DICCIANNI, CHARLES	390 KSE GROUP/NY	JY 00580 JY	777 00645 M	777 00645 M	777 00646 M	777 01184 JE	777 20015 F/	777 20016 P.	777 20016 P.	777 53486 FF		881 M KAMINSKY /NY	521 01163 F/		B73 INTERNATI	777 00378 CI	777 00378 CI	777 00683 MI		193 PAMAS MU	W 61000 777	777 00212 WH		

NEUBERGER BERMAN

**Compensation Report** 

							W	onth End	Month End : Mar-2008	ωl	08-1355
Marketer Reg Customer Name Rep Account	r Account Name	Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total Payout	55-mg
DICCIANNI, CHARLES				*							C E
W30 FIXED IN	W30 FIXED INCOME/DICCIANNI			14							oc Exhi
777 00569	ST LABRE RESTRICTED FUND FIXEI 06/08/1932	06/08/1932	66	2.00%	\$0.00	\$0.00	\$1,581.76	\$1,581.76	\$79.09	\$79.09	
777 00673	PARISH CEMETERY FIELD EMPLOY 06/08/1932	06/08/1932	66	2.00%	\$0.00	\$0.00	\$348.52	\$348.52	\$17.43	\$17.43	
777 01298	AMERICAN COLLEGE OF PHYSICIAI 10/01/1996	10/01/1996	66	5.00%	\$0.00	\$0.00	\$86.69	\$86.69	\$4.33	\$4.33	
777 01665	WHITNEY GENL RESERVE FIXED	07/01/1999	8	2.00%	\$0.00	\$0.00	\$1,445.38	\$1,445.38	\$72.27	\$72.27	
777 01671	WHITNEY OPERATING FIXED	07/01/1999	66	2.00%	\$0.00	\$0.00	\$261.38	\$261.38	\$13.07	\$13.07	
777 01853	ST LABRE ANNUITY RESERVE	10/01/2000	66	2.00%	\$0.00	\$0.00	\$3,118.65	\$3,118.65	\$155.93	\$155.93	
777 01854	ST LABRE CALIFORNIA ANNUITY	10/01/2000	66	2.00%	\$0.00	\$0.00	\$552.36	\$552.36	\$27.62	\$27.62	/29 :cia
777 01968	CITY OF BRISTOL - DOMESTIC	06/08/1932	66	2.00%	\$0.00	\$0.00	(\$29,718.69) (\$29,718.69)	\$29,718.69)	(\$1,485.93)	(\$1,485.93)	
777 01968	CITY OF BRISTOL - DOMESTIC	06/08/1932	66	2.00%	\$0.00	\$0.00	\$44,578.04	\$44,578.04	\$2,228.90	\$2,228.90	
777 01969	CITY OF BRISTOL - HIGH YIELD	06/08/1932	66	2.00%	\$0.00	\$0.00	(\$1,044.23)	(\$1,044.23)	(\$52.21)	(\$52.21)	
777 01969	CITY OF BRISTOL - HIGH YIELD	06/08/1932	66	2.00%	\$0.00	\$0.00	\$1,566.35	\$1,566.35	\$78.32	\$78.32	
777 01970	CITY OF BRISTOL-INTERNATIONAL	06/08/1932	66	2.00%	\$0.00	\$0.00	(\$1,653.82)	(\$1,653.82)	(\$82.69)	(\$82.69)	d 1 P
777 01970	CITY OF BRISTOL-INTERNATIONAL	06/08/1932	66	2.00%	\$0.00	\$0.00	\$2,480.73	\$2,480.73	\$124.04	\$124.04	1/2 g 1
		Reg Rep		Total:	\$0.00	\$0.00	\$23,603.12	\$23,603.12	\$1,180.17	\$1,180.17	9/11 8 of
W36 APPEL/DICCIANNI	ICCIANNI										L 11 20
555 02151	MILFORD HOSPITAL INC	09/07/2001	66	10.00%	\$0.00	\$0.00	\$4,585.80	\$4,585.80	\$458.58	\$458.58	L:27
555 02392	AMERICAN COLLEGE OF PHYSICIA! 01/20/1995	01/20/1995	66	2.00%	\$0.00	\$0.00	\$9,403.44	\$9,403.44	\$470.17	\$470.17	':53
555 02404	BABY TOGS INC DEFERRED	06/08/1932	66	2.00%	\$0.00	\$0.00	\$5,079.20	\$5,079.20	\$253.96	\$253.96	3
555 02406	BABY TOGS SPECIAL DEFINED	06/08/1932	6	2.00%	\$0.00	\$0.00	\$2,540.08	\$2,540.08	\$127.00	\$127.00	Exl
555 32655	AMERICAN COLLEGE OF PHYSICIAI 09/01/1996	09/01/1996	66	2.00%	\$0.00	\$0.00	\$15,342.06	\$15,342.06	\$767.10	\$767.10	nibit
555 32657	AMERICAN COLLEGE OF PHYSICIA! 10/01/1996	10/01/1996	66	2.00%	\$0.00	\$0.00	\$456.91	\$456.91	\$22.85	\$22.85	t
777 00012	MILFORD PENSION TRUST	06/08/1932	66	5.00%	\$0.00	\$0.00	\$34,531.97	\$34,531.97	\$1,726.60	\$1,726.60	
777 00062	CITY OF BRISTOL POLICE & FIRE	06/08/1932	8	2.00%	\$0.00	\$0.00	\$51,040.24	\$51,040.24	\$2,552.01	\$2,552.01	
		•	Page 4	4 of 6				Run Date:	te: 04/14/2008	2008	

NEUBERGER BERMAN

**Compensation Report** 

				1			<u>W</u>	onth End	Month End : Mar-2008	ω	08-1355
Aarketer Reg Customer Name Rep Account	r Account Name	Start Date	Tier	Pct Payout	Total	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total	55-mg
ICCIANNI, CHARLES											E
W36 APPEL/DICCIANNI	DICCIANNI										oc Exhi
777 00073	CITY OF MERIDEN POLICE &	06/08/1932	66	2.00%	\$0.00	\$0.00	\$18,206.37	\$18,206.37	\$910.32	\$910.32	
777 00082	CHESHIRE RET EQUITY	06/08/1932	66	5.00%	\$0.00	\$0.00	\$6,430.60	\$6,430.60	\$321.53	\$321.53	
777 00734	CITY OF MERIDEN EMPLOYEES	11/01/1994	8	5.00%	\$0.00	\$0.00	\$31,591.31	\$31,591.31	\$1,579.57	\$1 579 57	
777 01223	STAMFORD FIREMEN'S MID CAP	03/01/1996	66	2.00%	\$0.00	\$0.00	\$4,573.80	\$4,573.80	\$228.69	\$228.69	
777 01224	STAMFORD FIREMEN'S LARGE CAP 03/01/1996	03/01/1996	66	2.00%	\$0.00	\$0.00	\$9,136.19	\$9,136.19	\$456.81	\$456.81	
777 01348	F/B/O ANN M MOSS	03/22/2007	2	30.00%	\$0.00	\$0.00	\$68.07	\$68.07	\$20.42	\$20.42	
777 01349	F/B/O PAUL MOSS	03/22/2007	2	30.00%	\$0.00	\$0.00	\$103.83	\$103.83	\$31.15	\$31.15	./29 :cia
777 01353	PAUL MOSS AND	05/14/2007	2	30.00%	\$0.00	\$0.00	\$106.84	\$106.84	\$32.05	\$32.05	
777 01662	WHITNEY GENL RES LARGE CAP	07/01/1999	66	5.00%	\$0.00	\$0.00	\$4,053.60	\$4,053.60	\$202.68	\$202.68	
777 01664	WHITNEY GENL RES (MID CAP)	07/01/1999	66	2.00%	\$0.00	\$0.00	\$1,431.50	\$1,431.50	\$71.58	\$71.58	
777 01668	WHITNEY OPERATING LARGE CAP	07/01/1999	66	2.00%	\$0.00	\$0.00	\$765.64	\$765.64	\$38.28	\$38.28	
777 01670	WHITNEY OPERATING (MID CAP)	07/01/1999	66	5.00%	\$0.00	\$0.00	\$253.48	\$253.48	\$12.67	\$12.67	d 1: P
		Reg Rep		Total:	\$0.00	\$0.00	\$199,700.93 \$199,700.93	199,700.93	\$10,284.02	\$10,284.02	1/29 g 19
W38 APPEL #2/DICCIANNI	2/DICCIANNI										9/1: 9 of
555 02148	MILFORD HOSPITAL INC	09/07/2001	66	2.00%	\$0.00	\$0.00	\$7,798.15	\$7,798.15	\$389.91	\$389.91	1 11 f 20
777 02020	MILFORD HOSPITAL FIXED	09/07/2001	66	2.00%	\$0.00	\$0.00	\$3,100.99	\$3,100.99	\$155.05	\$155.05	L:27
		Reg Rep	Rep To	Total:	\$0.00	\$0.00	\$10,899.14	\$10,899.14	\$544.96	\$544.96	:53
W39 KAMINSKY/DICCIANNI	KY/DICCIANNI										E
555 02387	MUELLER BRASS CO RETIREMENT	06/08/1932	66	2.00%	\$0.00	\$0.00	\$40,598.24	\$40,598.24	\$2,029.91	\$2,029.91	Exhi
555 02405	BABY TOGG INC DEFERRED	06/08/1932	66	5.00%	\$0.00	\$0.00	\$5,083.07	\$5,083.07	\$254.15	\$254.15	bit
555 30007	BABY TOGS SPECIAL DEFINED	06/08/1932	66	5.00%	\$0.00	\$0.00	\$2,938.00	\$2,938.00	\$146.90	\$146.90	
		Reg Rep	Rep Tol	Total:	\$0.00	\$0.00	\$48,619.31	\$48,619.31	\$2,430.96	\$2,430.96	
		à	L.	9							

**Compensation Report** 

Run Date: 04/14/2008

08-13555-mg	Doc 22 Exhibi	2717 it A ( <sub> </sub>	-4 oart	Fi 4)	led to l	11 Dic	/29/ ciar	/11 nni A	Enter Affidavit	ed	11/29/1 Pg 20 c	.1 11 of 20	L:27:50	3	Exhib	oit
otal	i i	9.62 3.10	7.11	3.09	3.88	5.56	9.32	1.68	5.74	5.74	2.76	2.76	1.24	.24	1.26	

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**Compensation Report** 

Month End: Mar-2008

farketer Reg Customer Name Rep Account	er Account t Name	Start Date	Tier	Pct Payout	Total P Comm	PO Comm Amt	Quarterly Fee	Monthly Fee	PO Fee Amt	Total
ICCIANNI, CHARLES										
W40 BOLTO	W40 BOLTON/DICCIANNI									
550 02168	WMBRH-KENNEDY DUNCAN FUND	UND 06/23/2006	99	10.00%	\$0.00	\$0.00	\$896.15	\$896.15	\$89.62	\$89.62
550 02175	WMBRH-STURGIS FUND	06/23/2006	99	10.00%	\$0.00	\$0.00	\$531.02	\$531.02	\$53.10	\$53.10
550 02176	WMBRH-HOME HEALTH EDUCATION 06/23/2006	ATIO! 06/23/2006	99	10.00%	\$0.00	\$0.00	\$171.10	\$171.10	\$17.11	\$17.11
555 26700	BURKE REHABILITATION HOSPITAL 06/08/1932	TAL 06/08/1932	66	2.00%	\$0.00	\$0.00	\$4,661.73	\$4,661.73	\$233.09	\$233.09
555 26701	BURKE REHABILITATION CENTER	TER 06/08/1932	99	2.00%	\$0.00	\$0.00	\$7,877.53	\$7,877.53	\$393.88	\$393.88
555 26703	WINIFRED MASTERSON BURKE FDI 06/08/1932	E FDI 06/08/1932	99	2.00%	\$0.00	\$0.00	\$7,511.15	\$7,511.15	\$375.56	\$375.56
555 26705	PARISH CEMETERY FIELD EMPLOY 06/08/1932	PLOY 06/08/1932	99	5.00%	\$0.00	\$0.00	\$1,986.34	\$1,986.34	\$99.32	\$99.32
		Reg F	Reg Rep Total:	al:	\$0.00	\$0.00	\$23,635.02	\$23,635.02	\$1,261.68	\$1,261.68
W41 NEWM	W41 NEWMAN/DICCIANNI		œ.							
555 02401	FOLEY INC EMPLOYEES PEN PLAN 06/08/1932	LAN 06/08/1932	99	5.00%	\$0.00	\$0.00	\$28,514.71	\$28,514.71	\$1,425.74	\$1,425.74
		Reg F	Reg Rep Total:	al:	\$0.00	\$0.00	\$28,514.71	\$28,514.71	\$1,425.74	\$1,425.74
W43 SRI/DICCIANNI	CIANNI									
555 02182	ST LABRE RESTRICTED FUND	06/08/1932	99	2.00%	\$0.00	\$0.00	\$28,855.18	\$28,855.18	\$1,442.76	\$1,442.76
		Reg R	Reg Rep Total:	<u>=</u>	\$0.00	\$0.00	\$28,855.18	\$28,855.18	\$1,442.76	\$1,442.76
W45 SCHWA	W45 SCHWARTZ/DICCIANNI									
555 02382	WHX PENSION PLAN (MCS)	04/01/1999	99	5.00%	\$0.00	\$0.00	\$87,384.70	\$87,384.70	\$4,369.24	\$4,369.24
		Reg R	Reg Rep Total:	::	\$0.00	\$0.00	\$87,384.70	\$87,384.70	\$4,369.24	\$4,369.24
		Marketer Total:			\$0.00	\$0.00	\$662,167.61 \$662,167.61		\$52,353.26	\$52,353.26

Run Date: 04/14/2008